



1761
Atty. Dkt. No. 073442-1407

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sundram, et al.

Title: INCREASING THE HDL LEVEL
AND THE HDL/LDL RATIO IN
HUMAN SERUM BY
BALANCING SATURATED AND
POLYUNSATURATED DIETARY
FATTY ACIDS

Appl. No.: 09/828,448

Filing Date: 04/06/2001

Examiner: Unknown

Art Unit: 1761

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.

Karin E. Harden
(Printed Name)

Karin E. Harden
(Signature)

9-24-01
(Date of Deposit)

(Not entered on
contents sheet)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith on Form PTO-1449 and Form PTO-892 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/241,603, filed; 02/01/1999. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

Also submitted herewith is a new Form PTO-1449 listing additional references. Copies of these references are provided.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any

document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

No fee is believed due in connection with this communication. However, if any fee is due, the Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date 24 September 2001
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